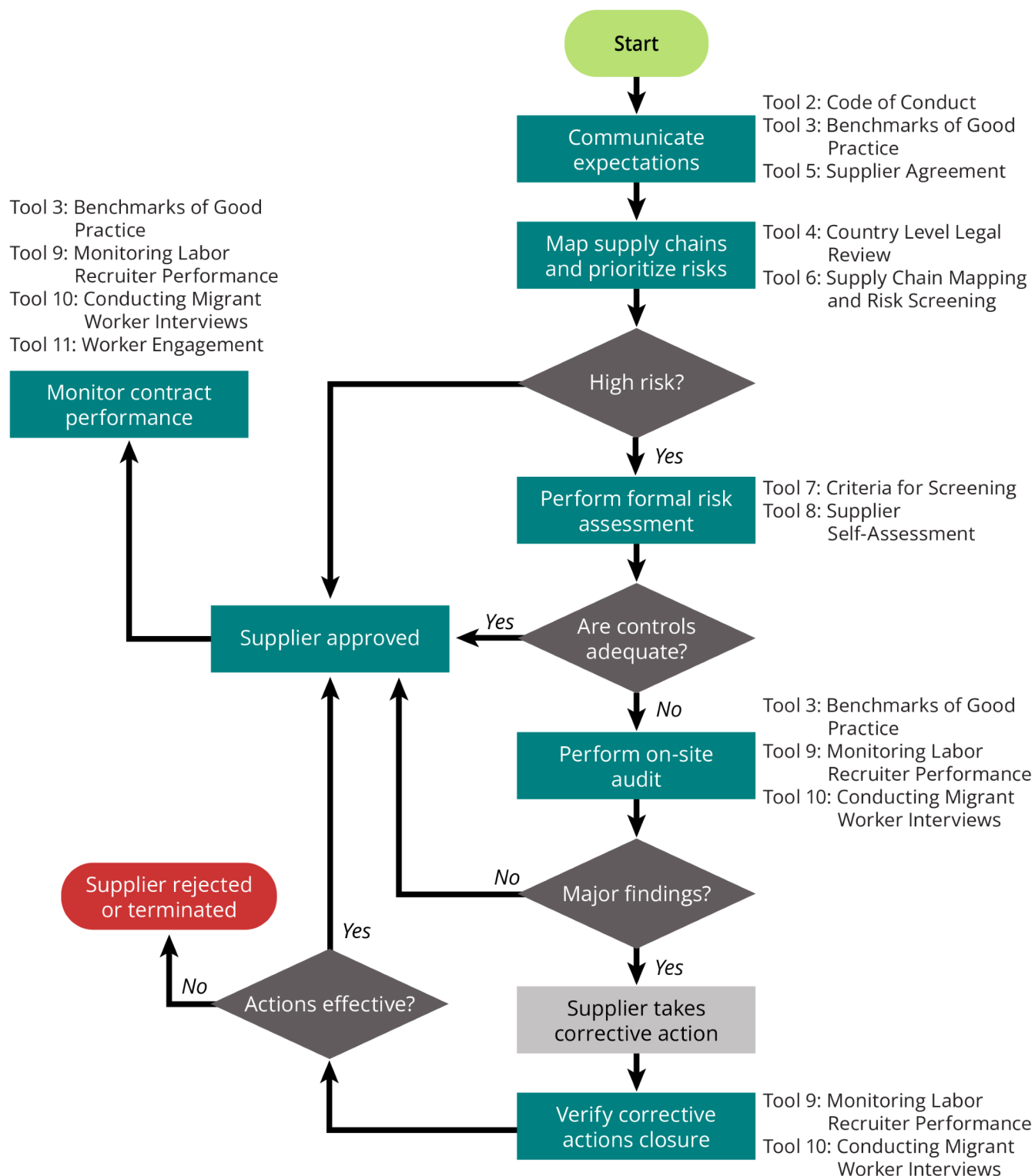


## Sample Supply Chain Due Diligence Process Flow





## PROTECTIONS AGAINST TRAFFICKING IN PERSONS

### Sample Supply Chain Due Diligence Program

#### Description of Flowchart:

To start, communicate expectations (see Tool 2 Supplier Code of Conduct, Tool 3 Benchmarks of Good Practice, and Tool 5 Supplier Agreement). Next, map supply chains and prioritize risks (See Tool 4 Country Level Legal Review and Tool 6 Supply Chain Mapping and Risk Screening).

Determine if there is a high risk. If no high risk is identified, the supplier is approved.

Proceed to monitor contract performance (see Tool 3 Benchmarks of Good Practice, Tool 9 Monitoring Labor Recruiter Performance, and Tool 10 Conducting Migrant Worker Interviews).

If there is high risk identified, perform a formal risk assessment (see Tool 7 Criteria for Screening and Tool 8 Supplier Self-Assessment).

Next, assess if supplier and recruiter risk controls are adequate.

If controls are adequate, the supplier is approved. Proceed to monitor contract performance (see Tool 3 Benchmarks of Good Practice, Tool 9 Monitoring Labor Recruiter Performance, Tool 10 Conducting Migrant Worker Interviews, and Tool 11 Worker Engagement).

If controls are not adequate, perform an on-site audit. Proceed to monitor contract performance (see Tool 3 Benchmarks of Good Practice, Tool 9 Monitoring Labor Recruiter Performance, and Tool 10 Conducting Migrant Worker Interviews).

Next, assess for major findings. If there are no major findings, the supplier is approved. Proceed to monitor contract performance (see Tool 3 Benchmarks of Good Practice, Tool 9 Monitoring Labor Recruiter Performance, and Tool 10 Conducting Migrant Worker Interviews).

If there are major findings, the supplier takes corrective action.

Next, verify closure of corrective actions. (See Tool 9 Monitoring Labor Recruiter Performance, and Tool 10 Conducting Migrant Worker Interviews).

If the corrective actions are effective, the supplier is approved. Proceed to monitor contract performance (see Tool 3 Benchmarks of Good Practice, Tool 9 Monitoring Labor Recruiter Performance, and Tool 10 Conducting Migrant Worker Interviews).

If the actions are not effective, the supplier is rejected or terminated.